

## Authorised banks and securities dealers

Name	City	Licensing	Bank type	Securities dealer type
Aargauische Kantonalbank	Aarau	Bank / securities dealer	Cantonal banks	Swiss securities dealer
ABANCA CORPORACION BANCARIA S.A., Betanzos, succursale de Genève	Genève 1	Foreign bank branch office	First branch office of a foreign bank	
acervis Bank AG	St. Gallen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
AEK BANK 1826 Genossenschaft	Thun	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
AFS Equity & Derivatives B.V., Amsterdam, Zweigniederlassung Regensburg	Regensburg	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
Alpha RHEINTAL Bank AG	Heerbrugg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Alternative Bank Schweiz AG	Olten	Bank / securities dealer	Other banks	Swiss securities dealer
AP ANLAGE & PRIVATBANK AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Appenzeller Kantonalbank	Appenzell	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Aquila & Co. AG	Zürich	Bank / securities dealer	Other banks	Swiss securities dealer
Arab Bank (Switzerland) Ltd.	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
AXION SWISS BANK SA	Lugano	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Baader Helvea AG	Zürich	Securities dealer	Securities dealer	Foreign-controlled securities dealer
Baloise Bank SoBa AG	Solothurn	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Banca Aletti & C. (Suisse) SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BANCA ARNER SA	Lugano	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
BANCA CREDINVEST SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BANCA DEL CERESIO SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BANCA DEL SEMPIONE SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banca dello Stato del Cantone Ticino	Bellinzona	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Banca Intermobiliare di Investimenti e Gestioni (Suisse) SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banca Popolare di Sondrio (Suisse) SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BANCA ZARATTINI & CO. SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banco Itaú (Suisse) SA	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer

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Banco Santander (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Bank am Bellevue AG	Küsnacht	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Bank BSU Genossenschaft	Uster	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank CIC (Schweiz) AG	Basel	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Bank Coop AG	Basel	Bank / securities dealer	Other banks	Swiss securities dealer
Bank EEK AG	Bern 7	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank EKI Genossenschaft	Interlaken	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank für Tirol und Vorarlberg Aktiengesellschaft, Innsbruck, Zweigniederlassung Staad	Staad	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Bank Gantrisch Genossenschaft	Schwarzenburg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank Hapoalim (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Bank in Zuzwil AG	Zuzwil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank J. Safra Sarasin AG	Basel	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Bank Julius Bär & Co. AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Bank Leerau Genossenschaft	Kirchleerau	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank Linth LLB AG	Uznach	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Bank Oberaargau AG	Huttwil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank of America, National Association, Charlotte, Zurich Branch	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Bank SLM AG	Münsingen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank Sparhafen Zürich AG	Zürich	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank Thalwil Genossenschaft	Thalwil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bank von Roll AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Bank Vontobel AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
BANK ZIMMERBERG AG	Horgen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
bank zweiplus ag	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer

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Name	City	Licensing	Bank type	Securities dealer type
Bankhaus Jungholz AG	St. Gallen	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BankMed (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Bank-now AG	Horgen	Bank	Other banks	
Banque Algérienne du Commerce Extérieur SA	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banque Audi (Suisse) SA	Genève 12	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banque Bonhôte & Cie SA	Neuchâtel	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Banque Cantonale de Fribourg	Fribourg	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Banque Cantonale de Genève	Genève 2	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Banque Cantonale du Jura	Porrentruy 2	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Banque Cantonale du Valais	Sion	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Banque cantonale neuchâteloise	Neuchâtel	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Banque Cantonale Vaudoise	Lausanne	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Banque Cramer & Cie SA	Genève 12	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Banque de Commerce et de Placements SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banque du Léman SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banque Eric Sturdza SA	Genève 3	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Banque Genevoise de Gestion SA	Genève 3	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Banque Havilland (Suisse) S.A.	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BANQUE HERITAGE SA	Genève 6	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banque Internationale à Luxembourg (Suisse) SA	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banque Internationale de Commerce - BRED (Suisse) SA	Genève 3	Bank	Foreign-controlled banks	
Banque Lombard Odier & Cie SA	Genève 11	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
BANQUE MORVAL SA	Genève	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Banque Pâris Bertrand Sturdza SA	Genève 12	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer

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Name	City	Licensing	Bank type	Securities dealer type
Banque Pictet & Cie SA	Genève 73	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Banque Privée BCP (Suisse) SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BANQUE PROFIL DE GESTION SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Banque SYZ SA	Genève 11	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Banque Thaler SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Bantleon Bank AG	Zug	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Barclays Bank (Suisse) SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Barclays Capital, Zurich Branch of Barclays Bank PLC, London	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Basellandschaftliche Kantonalbank	Liestal	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Basler Kantonalbank	Basel	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Baumann & Cie.	Basel	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer
BBO Bank Brienz Oberhasli AG	Brienz	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
BBVA (Suiza) SA	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BERENBERG BANK (SCHWEIZ) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Berner Kantonalbank AG	Bern	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Bernerland Bank AG	Sumiswald	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Bezirks-Sparkasse Dielsdorf	Dielsdorf	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
BHF-BANK (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Biene Bank im Rheintal Genossenschaft	Altstätten	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
BLOM BANK (Switzerland) SA	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BNP Paribas (Suisse) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
BNP PARIBAS SECURITIES SERVICES, Paris, succursale de Zurich	Zürich	Foreign bank branch office	First branch office of a foreign bank	
Bondpartners S.A.	Lausanne	Securities dealer	Securities dealer	Swiss securities dealer
Bordier & Cie	Genève 11	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer

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Bovay & Partenaires S.A.	Lausanne	Securities dealer	Securities dealer	Swiss securities dealer
bridport & cie sa	Genève 3	Securities dealer	Securities dealer	Foreign-controlled securities dealer
BS Bank Schaffhausen AG	Hallau	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
BSI SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Bürgergemeinde Bern, DC Bank, Deposito-Cassa der Stadt Bern	Bern 7	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Burgerliche Ersparniskasse Bern, Genossenschaft	Bern	Bank	Regional banks and savings banks	
BZ Bank Aktiengesellschaft	Wilten	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
CA Indosuez (Switzerland) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
CACEIS Bank Luxembourg, Luxembourg, succursale de Nyon	Nyon	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Caisse d'Epargne CEC SA	Courtelay	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Caisse d'Epargne d'Aubonne société coopérative	Aubonne	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Caisse d'Epargne de Cossonay société coopérative	Cossonay	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Caisse d'Epargne de Nyon société coopérative	Nyon	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Caisse d'Epargne et de Crédit Mutuel de Chermignon société coopérative	Chermignon	Bank	Regional banks and savings banks	
Caisse d'Epargne Riviera, société coopérative	Vevey 1	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
CBH Compagnie Bancaire Helvétique SA	Genève 3	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Cembra Money Bank AG	Zürich	Bank	Other banks	
China Construction Bank Corporation, Beijing, Swiss Branch Zurich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
CIM BANQUE SA	Genève 6	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Cité Gestion SA	Genève	Securities dealer	Securities dealer	Swiss securities dealer
Citibank (Switzerland) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Citibank, N.A., Sioux Falls, succursale de Genève	Genève 3	Foreign bank branch office / securities dealer	Second branch office of a foreign bank	Second branch office of a foreign securities dealer
Citibank, N.A., Sioux Falls, Zurich Branch	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer

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Name	City	Licensing	Bank type	Securities dealer type
CITIGROUP GLOBAL MARKETS LIMITED, London, Zweigniederlassung Zürich	Zürich	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
Clientis AG	Bern 7	Bank / securities dealer	Banks with a special field of business	Swiss securities dealer
Clientis Bank im Thal AG	Balsthal	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Clientis Bank Küttigen-Erlinsbach AG	Küttigen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Clientis Bank Oberuzwil AG	Oberuzwil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Clientis Bank Thur Genossenschaft	Ebnat-Kappel	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Clientis Bank Toggenburg AG	Kirchberg SG	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Clientis EB Entlebucher Bank AG	Schüpfheim	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Clientis Sparkasse Oftringen Genossenschaft	Oftringen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Clientis Zürcher Regionalbank Genossenschaft	Wetzikon	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
COMMERZBANK Aktiengesellschaft, Frankfurt am Main, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Compagnie Privée de Conseils et d'Investissements SA	Genève 11	Securities dealer	Securities dealer	Foreign-controlled securities dealer
Cornèr Banca SA	Lugano	Bank / securities dealer	Other banks	Swiss securities dealer
Crédit Agricole Financements (Suisse) SA	Genève	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Credit Europe Bank (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
CREDIT MUTUEL DE LA VALLEE SA	Le Sentier	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Credit Suisse AG	Zürich	Bank / securities dealer	Large banks	Swiss securities dealer
Daiwa Capital Markets Europe Limited, Londres, succursale de Genève	Genève 3	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
Delen (Suisse) SA	Genève 11	Securities dealer	Securities dealer	Foreign-controlled securities dealer
Deutsche Bank (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Deutsche Bank Aktiengesellschaft, Frankfurt a.M., Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Dominick Company AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Dreyfus Söhne & Cie. Aktiengesellschaft, Banquiers	Basel	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Dukascopy Bank SA	Genève 15	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer

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Name	City	Licensing	Bank type	Securities dealer type
Dynagest SA	Genève 11	Securities dealer	Securities dealer	Swiss securities dealer
DZ PRIVATBANK (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
E. Gutzwiller & Cie. Banquiers	Basel	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer
Edmond de Rothschild (Suisse) S.A.	Genève 11	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
EFG Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
EFG Bank European Financial Group SA	Genève 2	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Entris Banking AG	Gümligen	Bank / securities dealer	Banks with a special field of business	Swiss securities dealer
Equatex AG	Zürich	Securities dealer	Securities dealer	Foreign-controlled securities dealer
Ersparniskasse Affoltern i.E. AG	Affoltern i.E.	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Ersparniskasse Rüeggisberg Genossenschaft	Rüeggisberg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Ersparniskasse Schaffhausen AG	Schaffhausen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Ersparniskasse Speicher	Speicher	Bank	Regional banks and savings banks	
EXANE DERIVATIVES, Paris, succursale de Genève	Genève	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
EXANE SA, Paris, succursale de Genève	Genève	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
F. van Lanschot Bankiers (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Falcon Private Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Fibi Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Fidurhône SA	Genève 3	Securities dealer	Securities dealer	Swiss securities dealer
Fisch Asset Management AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer
Frankfurter Bankgesellschaft (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Freie Gemeinschaftsbank Genossenschaft	Basel	Bank	Other banks	
Gazprombank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
GFI Securities Limited, Londres, Succursale de Nyon	Nyon	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
Glarner Kantonalbank	Glarus	Bank / securities dealer	Cantonal banks	Swiss securities dealer

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Globalance Bank AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Goldman Sachs Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Gonet & Cie SA	Genève 11	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Grammont Finance SA	Lutry	Securities dealer	Securities dealer	Swiss securities dealer
Graubündner Kantonalbank	Chur	Bank / securities dealer	Cantonal banks	Swiss securities dealer
GRB Glarner Regionalbank Genossenschaft	Schwanden	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
GS Banque SA	Genève 21	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Habib Bank AG Zürich	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Habibsons Bank Limited, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Hardcastle Trading AG	Rapperswil-Jona	Securities dealer	Securities dealer	Foreign-controlled securities dealer
Heliting SA	Agno	Securities dealer	Securities dealer	Foreign-controlled securities dealer
HINDUJA BANQUE (SUISSE) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
HSBC Bank plc, London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
HSBC Private Bank (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Hyposwiss Private Bank Genève SA	Genève 11	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Hypothekarbank Lenzburg AG	Lenzburg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
IG Bank S.A.	Genève	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
IMC Trading B.V., Amsterdam, Zug Branch	Zug	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
InCore Bank AG	Zürich	Bank / securities dealer	Other banks	Swiss securities dealer
ING Belgique, Bruxelles, succursale de Genève	Genève 11	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Instinet Europe Limited, London, Zweigniederlassung Zürich	Zürich	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
INTESA SANPAOLO PRIVATE BANK (SUISSE) SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Investec Bank (Switzerland) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer



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Name	City	Licensing	Bank type	Securities dealer type
Isbank AG, Frankfurt am Main (DE), Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	
ISP Securities AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer
J.P. Morgan (Suisse) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
J.P. Morgan Securities plc., London, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
JCE Hottinger AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer
Jefferies International Limited, London, Zweigniederlassung Zürich	Zürich	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
JL Securities SA	Genève	Securities dealer	Securities dealer	Swiss securities dealer
JPMorgan Chase Bank, National Association, Columbus, Zurich Branch	Zürich	Foreign bank branch office	First branch office of a foreign bank	
Kendra Securities House SA	Genève	Securities dealer	Securities dealer	Swiss securities dealer
Kepler Capital Markets SA	Eysins	Securities dealer	Securities dealer	Foreign-controlled securities dealer
LANDOLT & CIE SA	Lausanne	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Leihkasse Stammheim AG	Oberstammheim	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Lemanik SA	Lugano	Securities dealer	Securities dealer	Foreign-controlled securities dealer
Leonteq Securities AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer
LF Finance (Suisse) SA	Genève 3	Securities dealer	Securities dealer	Foreign-controlled securities dealer
LGT Bank (Schweiz) AG	Basel	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Lienhardt & Partner Privatbank Zürich AG	Zürich	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Luzerner Kantonalbank AG	Luzern	Bank / securities dealer	Cantonal banks	Swiss securities dealer
M. M. Warburg Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Maerki Baumann & Co. AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Mercantil Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Merrill Lynch Capital Markets AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
MG Finance SA	Pully	Securities dealer	Securities dealer	Swiss securities dealer
Migros Bank AG	Zürich	Bank / securities dealer	Other banks	Swiss securities dealer

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Mirabaud & Cie SA	Genève	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Mitsubishi UFJ Wealth Management Bank (Switzerland), Ltd.	Genève 3	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Mizuho Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Morgan Stanley & Co. International plc, London, Zurich Branch	Zürich	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
Mourgue d'Algue & Cie	Genève 3	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer
NBAD Private Bank (Suisse) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
NBK Banque Privée (Suisse) SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Neue Aargauer Bank AG	Aarau	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Neue Helvetische Bank AG	Zürich	Bank / securities dealer	Other banks	Swiss securities dealer
Nidwaldner Kantonalbank	Stans	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Nomura Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Nordea Bank S.A., Luxemburg, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Notenstein La Roche Privatbank AG	St. Gallen	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
NPB Neue Privat Bank AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Obwaldner Kantonalbank	Sarnen	Bank / securities dealer	Cantonal banks	Swiss securities dealer
PATRIMONY 1873 SA	Lugano	Securities dealer	Securities dealer	Foreign-controlled securities dealer
Petercam Private Bank (Switzerland) SA	Genève 5 - Echange	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Picard Angst AG	Pfäffikon	Securities dealer	Securities dealer	Swiss securities dealer
Piguet Galland & Cie SA	Yverdon-les-Bains	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
PKB PRIVATBANK SA	Lugano	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
PostFinance AG	Bern	Bank / securities dealer	Other banks	Swiss securities dealer
Privatbank Bellerive AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Privatbank IHAG Zürich AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer

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Name	City	Licensing	Bank type	Securities dealer type
Privatbank Von Graffenried AG	Bern	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Private Client Bank AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Private Client Partners AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
QNB Suisse SA	Genève 1	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Quantus AG	Glattzentrum bei Wallisellen	Securities dealer	Securities dealer	Swiss securities dealer
Quilvest (Switzerland) Ltd.	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Rahn+Bodmer Co.	Zürich	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer
Raiffeisen Schweiz Genossenschaft	St. Gallen	Bank / securities dealer	Banks with a special field of business	Swiss securities dealer
RBC Investor Services Bank S.A., Esch-sur-Alzette, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Regiobank Männedorf AG	Männedorf	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Regiobank Solothurn AG	Solothurn	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Reichmuth & Co	Luzern 7	Bank / securities dealer	Private bankers who do not solicit funds from the public	Swiss securities dealer
Remaco Wealth Management AG	Basel	Securities dealer	Securities dealer	Swiss securities dealer
Reuss Private AG	Bremgarten	Securities dealer	Securities dealer	Swiss securities dealer
REYL & Cie SA	Genève	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Rothschild Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
SAGEFOR Société Anonyme de Gérance de Fortunes	Genève	Securities dealer	Securities dealer	Swiss securities dealer
Sallfort Privatbank AG	Zürich	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Sauren Finanzdienstleistungen GmbH & Co. KG, Köln, Zweigniederlassung Zug	Zug	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
SAXO BANK (SCHWEIZ) AG	Zollikon	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
SB Saanen Bank AG	Saanen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Sberbank (Switzerland) AG	Zürich	Bank	Foreign-controlled banks	
Schaffhauser Kantonalbank	Schaffhausen	Bank / securities dealer	Cantonal banks	Swiss securities dealer

## Authorised banks and securities dealers

Name	City	Licensing	Bank type	Securities dealer type
Schroder & Co Bank AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Schwyzer Kantonalbank	Schwyz	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Scobag Privatbank AG	Basel	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
SELVI & Cie SA	Genève 11	Securities dealer	Securities dealer	Swiss securities dealer
SIX SIS AG	Olten	Bank	Banks with a special field of business	
SIX x-clear AG	Zürich 1	Bank	Banks with a special field of business	
Società Bancaria Ticinese SA	Bellinzona	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
SOCIETE GENERALE Private Banking (Suisse) SA	Genève 11	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Société Générale, Paris, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
SPAR + LEIHKASSE GÜRBETAL AG	Mühlethurnen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Spar- und Leihkasse Bucheggberg AG	Lütterswil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Spar- und Leihkasse Frutigen AG	Frutigen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Spar- und Leihkasse Leuk und Umgebung Genossenschaft	Leuk-Stadt	Bank	Regional banks and savings banks	
Spar- und Leihkasse Thayngen AG	Thayngen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Spar- und Leihkasse Wynigen AG	Wynigen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Spar+Leihkasse Riggisberg AG	Riggisberg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Sparcassa 1816 Genossenschaft	Wädenswil	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Sparkasse Schwyz AG	Schwyz	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Sparkasse Sense	Tafers	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
St. Galler Kantonalbank AG	St. Gallen	Bank / securities dealer	Cantonal banks	Swiss securities dealer
State Street Bank International GmbH, München, Zweigniederlassung Zürich	Zürich	Foreign bank branch office	First branch office of a foreign bank	
STRATEO, Genève, Succursale de Keytrade Bank SA, Bruxelles	Genève	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Swiss Bankers Prepaid Services AG	Grosshöchstetten	Bank	Other banks	
Swiss Capital Alternative Investments AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer

## Authorised banks and securities dealers

Name	City	Licensing	Bank type	Securities dealer type
Swissquote Bank SA	Gland	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
TARENO AG	Basel	Securities dealer	Securities dealer	Swiss securities dealer
Tellco Vorsorge AG	Schwyz	Securities dealer	Securities dealer	Swiss securities dealer
The Royal Bank of Scotland plc, Edinburgh, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
Thurgauer Kantonalbank	Weinfelden	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Timber Hill (Europe) AG	Zug	Securities dealer	Securities dealer	Foreign-controlled securities dealer
Trafina Privatbank AG	Basel	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Triba Partner Bank AG	Triengen	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
TULLETT PREBON (SECURITIES) LIMITED, Londres, succursale de Meyrin / Genève	Genève 15	Foreign securities dealer branch office	Securities dealer	First branch office of a foreign securities dealer
UBL (Switzerland) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
UBS AG	Zürich	Bank / securities dealer	Large banks	Swiss securities dealer
UBS LIMITED, London, Swiss Branch, Opfikon	Opfikon	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
UBS Swiss Financial Advisers AG	Zürich	Securities dealer	Securities dealer	Swiss securities dealer
UBS Switzerland AG	Zürich	Bank / securities dealer	Large banks	Swiss securities dealer
UniCredit Bank AG, München, Zweigniederlassung Zürich	Zürich	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
UNION BANCAIRE PRIVEE, UBP SA	Genève 1	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
UNITED MIZRAHI BANK (Switzerland) Ltd.	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Urner Kantonalbank	Altdorf	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Valcourt SA	Genève 11	Securities dealer	Securities dealer	Swiss securities dealer
Valiant Bank AG	Bern	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer
Volksbank AG	St. Margrethen	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer
Vorarlberger Landes- und Hypothekenbank Aktiengesellschaft, Bregenz, Zweigniederlassung St. Gallen	St. Gallen	Foreign bank branch office / securities dealer	First branch office of a foreign bank	First branch office of a foreign securities dealer
VP Bank (Schweiz) AG	Zürich	Bank / securities dealer	Foreign-controlled banks	Foreign-controlled securities dealer

## Authorised banks and securities dealers

Name	City	Licensing	Bank type	Securities dealer type
VZ Depotbank AG	Zürich	Bank / securities dealer	Other banks	Swiss securities dealer
WIR Bank Genossenschaft	Basel	Bank	Other banks	
Zähringer Privatbank AG	Bern	Bank / securities dealer	Banks specialised in exchange, securities and asset management business	Swiss securities dealer
Zuger Kantonalbank	Zug	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Zürcher Kantonalbank	Zürich	Bank / securities dealer	Cantonal banks	Swiss securities dealer
Zürcher Landbank AG	Elgg	Bank / securities dealer	Regional banks and savings banks	Swiss securities dealer

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**Total authorised banks and securities dealers: 313**