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Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Extracted from ASIC's database at AEST 21:08:58 on 16/11/2016

Current Details	
Name:	ORIGIN MARKETS LTD
Licence Number:	246943
Status:	Current
ABN:	58 106 064 644
Commenced:	07/04/2004
Addresses	
Principal Business Address:	Level 11, 20 Hunter Street, SYDNEY NSW 2000
Service Address:	Level 11, 20 Hunter Street, SYDNEY NSW 2000
Roles	
Role:	Auditor of FS Licensee
Name:	PETER JAMES VARLEY
Commenced:	29/01/2013
External Dispute Resolution	
Scheme:	Financial Ombudsman Service
Membership Number:	11673
Commenced:	01/07/2009
Licence Authorisation Conditions	
From:	19/11/2014
Details:	<ol style="list-style-type: none">1. This licence authorises the licensee to carry on a financial services business to:<ol style="list-style-type: none">(a) provide general financial product advice for the following classes of financial products:<ol style="list-style-type: none">(i) interests in managed investment schemes excluding investor directed portfolio services; and(ii) securities;(b) deal in a financial product by:<ol style="list-style-type: none">(i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:<ol style="list-style-type: none">(A) derivatives;(B) interests in managed investment schemes excluding investor directed portfolio services; and(C) securities; and(ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:<ol style="list-style-type: none">(A) deposit and payment products limited to:<ol style="list-style-type: none">(1) basic deposit products;(2) deposit products other than basic deposit products;(B) derivatives;



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (C) general insurance products;
- (D) interests in managed investment schemes excluding investor directed portfolio services; and
- (E) securities;
- (c) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) schemes which only hold the following types of property:
 - (A) direct real property; and
 - (B) financial assets; and
- (d) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

From: 08/10/2012

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice for the following classes of financial products:
 - (i) interests in managed investment schemes excluding investor directed portfolio services; and
 - (ii) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives;
 - (B) interests in managed investment schemes excluding investor directed portfolio services; and
 - (C) securities; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (B) derivatives;
- (C) general insurance products;
- (D) interests in managed investment schemes
excluding investor directed portfolio
services; and
- (E) securities;
- (c) operate the following kinds of registered managed
investment schemes (including the holding of any
incidental property) in its capacity as
responsible entity:
 - (i) schemes which only hold the following types of
property:
 - (A) direct real property; and
 - (B) financial assets; and
- (d) provide the following custodial or depository
services:
 - (i) operate custodial or depository services other
than investor directed portfolio services;
to retail and wholesale clients.

From: 19/05/2010

- Details:
1. This licence authorises the licensee to carry on a
financial services business to:
 - (a) provide general financial product advice for the
following classes of financial products:
 - (i) interests in managed investment schemes
excluding investor directed portfolio services;
and
 - (ii) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or
disposing of a financial product in respect of
the following classes of financial products:
 - (A) derivatives;
 - (B) interests in managed investment schemes
excluding investor directed portfolio
services; and
 - (C) securities; and
 - (ii) applying for, acquiring, varying or disposing
of a financial product on behalf of another
person in respect of the following classes of
products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- products;
- (B) derivatives;
- (C) general insurance products;
- (D) interests in managed investment schemes
excluding investor directed portfolio
services; and
- (E) securities;
- (c) operate the following kinds of registered managed
investment schemes (including the holding of any
incidental property) in its capacity as
responsible entity:
 - (i) schemes which only hold the following types of
property:
 - (A) direct real property; and
 - (B) financial assets; and
- (d) provide the following custodial or depository
services:
 - (i) operate custodial or depository services other
than investor directed portfolio services;
to retail and wholesale clients.

From: 20/11/2009

Details:

1. This licence authorises the licensee to carry on a
financial services business to:
 - (a) provide general financial product advice for the
following classes of financial products:
 - (i) interests in managed investment schemes
excluding investor directed portfolio services;
and
 - (ii) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or
disposing of a financial product in respect of
the following classes of financial products:
 - (A) derivatives;
 - (B) interests in managed investment schemes
excluding investor directed portfolio
services; and
 - (C) securities; and
 - (ii) applying for, acquiring, varying or disposing
of a financial product on behalf of another
person in respect of the following classes of
products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (2) deposit products other than basic deposit products;
- (B) derivatives;
- (C) general insurance products;
- (D) interests in managed investment schemes excluding investor directed portfolio services; and
- (E) securities;
- (c) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) "Apam Absolute Equity - Asia Fund" scheme (ARSN: 118 833 828),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (ii) "Ascend Funds" scheme (ARSN: 113 297 484),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (iii) "Columbus Investment Fund" scheme (ARSN: 127 224 926),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (iv) "DirectMoney Personal Loan Income Fund" scheme (ARSN: 123 372 207),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
 - (v) "Equa Property Fund" scheme (ARSN: 140 415 354)
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
 - (vi) "Ironstone Residential Fund" scheme (ARSN: 115 654 138),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
 - (vii) "Maxim Diversified Property Fund" scheme (ARSN: 123 372 047),
 - (A) a scheme which only holds the following types of property:



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (1) financial assets;
- (viii) "Maxim Property Securities Fund" scheme (ARSN: 116 193 563),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (ix) "Premier Global Select Fund" scheme (ARSN: 097 566 160),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (x) "SRA Alliance Fund" scheme (ARSN: 140 253 685),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xi) "Super Alpha Fund 1" scheme (ARSN: 127 228 719),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets; and
- (xii) "Access SRA Fund" scheme (ARSN: 127 228 675),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets; and
- (d) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

From: 04/11/2009

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice for the following classes of financial products:
 - (i) interests in managed investment schemes excluding investor directed portfolio services; and
 - (ii) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives;
 - (B) interests in managed investment schemes



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- excluding investor directed portfolio services; and
- (C) securities; and
- (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) general insurance products;
 - (D) interests in managed investment schemes excluding investor directed portfolio services; and
 - (E) securities;
- (c) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) "Maxim Property Securities Fund" scheme (ARSN: 116 193 563),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (ii) "Ascend Funds" scheme (ARSN: 113 297 484),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (iii) "Ironstone Residential Fund" scheme (ARSN: 115 654 138),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
 - (iv) "Infiniti Capital Fixed Income Fund" scheme (ARSN: xxx xxx xxx),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (v) "Directmoney Personal Loan Income Fund" scheme (ARSN: 123 372 207),
 - (A) a scheme which only holds the following types of property:



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (1) financial assets;
- (vi) "Maxim Diversified Property Fund" scheme (ARSN: 123 372 047),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (vii) "Apam Absolute Equity - Asia Fund" scheme (ARSN: 118 833 828),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (viii) "Access Sra Fund" scheme (ARSN: 127 228 675),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (ix) "Columbus Investment Fund" scheme (ARSN: 127 224 926),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (x) "Ascend Small Cap Resources Fund" scheme (ARSN: xxx xxx xxx),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xi) "Super Alpha Fund 1" scheme (ARSN: 127 228 719),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xii) "Apam Absolute Equity - Australia Fund" scheme (ARSN: xxx xxx xxx),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xiii) "Premier Global Select Fund" scheme (ARSN: 097 566 160),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xiv) "Access Sra Fund" scheme (ARSN: 127 228 675),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets; and



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (xv) "Sra Alliance Strategic Risk Allocation Fund" scheme (ARSN: xxx xxx xxx),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets; and
- (d) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

From: 09/07/2008

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice for the following classes of financial products:
 - (i) interests in managed investment schemes excluding investor directed portfolio services; and
 - (ii) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives;
 - (B) interests in managed investment schemes excluding investor directed portfolio services; and
 - (C) securities; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) general insurance products;
 - (D) interests in managed investment schemes excluding investor directed portfolio services; and
 - (E) securities;
 - (c) operate the following kinds of registered managed investment schemes (including the holding of any



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

incidental property) in its capacity as
responsible entity:

- (i) "Maxim Property Securities Fund" scheme (ARSN: 116 193 563),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (ii) "Ascend Escalation Fund" scheme (ARSN: 113 297 484),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (iii) "Ironstone Residential Fund" scheme (ARSN: 115 654 138),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
- (iv) "eG Capital Bioequities Fund" scheme (ARSN: 119 650 798),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (v) "Hanover Development Capital Fund" scheme (ARSN: 121 004 206),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
- (vi) "Infiniti Capital Fixed Income Fund" scheme (ARSN: 121 052 613),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (vii) "DirectMoney Personal Loan Income Fund" scheme (ARSN: 123 372 207),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (viii) "Maxim Diversified Property Fund" scheme (ARSN: 123 372 047),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (ix) "APAM Absolute Equity - Asia Fund" scheme (ARSN: 118 833 828),



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (x) "Access SRA Fund" scheme (ARSN: 127 228 675),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xi) "Ascend China Growth Fund" scheme (ARSN: 127 224 926),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xii) "Ascend Small Cap Resources Fund" scheme (ARSN: 127 224 748),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xiii) "Columbus International Equities Fund" scheme (ARSN: 127 228 719),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xiv) "APAM Absolute Equity - Australia Fund" scheme (ARSN: 131 881 662),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets; and
- (xv) "Premier Global Select Fund" scheme (ARSN: 097 566 160),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets; and
- (d) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

From: 29/05/2008

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice for the following classes of financial products:
 - (i) interests in managed investment schemes excluding investor directed portfolio services;



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- and
- (ii) securities;
- (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives;
 - (B) interests in managed investment schemes excluding investor directed portfolio services; and
 - (C) securities; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) general insurance products;
 - (D) interests in managed investment schemes excluding investor directed portfolio services; and
 - (E) securities;
- (c) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) "Maxim Property Securities Fund" scheme (ARSN: 116 193 563),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (ii) "Ascend Escalation Fund" scheme (ARSN: 113 297 484),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (iii) "Accelerant Property Fund No 1" scheme (ARSN: 115 654 138),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (iv) "EG Capital Bioequities Fund" scheme (ARSN: 119 650 798),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (v) "Hanover Development Capital Fund" scheme (ARSN: 121 004 206),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
- (vi) "Infiniti Capital Fixed Income Fund" scheme (ARSN: 121 052 613),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (vii) "Directmoney Personal Loan Income Fund" scheme (ARSN: 123 372 207),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (viii) "Maxim Diversified Property Fund" scheme (ARSN: 123 372 047),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (ix) "APAM Absolute Equity - Asia Fund" scheme (ARSN: 118 833 828),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (x) "Access SRA Fund" scheme (ARSN: 127 228 675),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xi) "Ascend China Growth Fund" scheme (ARSN: 127 224 926),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xii) "Ascend Small Cap Resources Fund" scheme (ARSN: 127 224 748),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (xiii) "Columbus International Equities Fund" scheme
(ARSN: 127 228 719),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets; and
- (xiv) "Premier Global Select Fund" scheme (ARSN: 097 566 160),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property; and
 - (2) financial assets; and
 - (d) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

From: 06/09/2007

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice for the following classes of financial products:
 - (i) interests in managed investment schemes excluding investor directed portfolio services; and
 - (ii) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives;
 - (B) interests in managed investment schemes excluding investor directed portfolio services; and
 - (C) securities; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) general insurance products;



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (D) interests in managed investment schemes
excluding investor directed portfolio
services; and
- (E) securities;
- (c) operate the following kinds of registered managed
investment schemes (including the holding of any
incidental property) in its capacity as
responsible entity:
 - (i) "Maxim Property Securities Fund" scheme (ARSN:
116 193 563),
 - (A) a scheme which only holds the following types
of property:
 - (1) financial assets;
 - (ii) "The Himalaya Fund" scheme (ARSN: 113 297 484),
 - (A) a scheme which only holds the following types
of property:
 - (1) financial assets;
 - (iii) "The Partners In Property Group Development
Fund" scheme (ARSN: 115 654 138),
 - (A) a scheme which only holds the following types
of property:
 - (1) direct real property;
 - (iv) "eG Capital Bioequities Fund" scheme (ARSN: 119
650 798),
 - (A) a scheme which only holds the following types
of property:
 - (1) financial assets;
 - (v) "Hanover Development Capital Fund" scheme
(ARSN: 121 004 206),
 - (A) a scheme which only holds the following types
of property:
 - (1) direct real property;
 - (vi) "Infiniti Capital Fixed Income Fund" scheme
(ARSN: 121 052 613),
 - (A) a scheme which only holds the following types
of property:
 - (1) financial assets;
 - (vii) "Infiniti Capital Andante 4X Australia Fund"
scheme (ARSN: 123 372 207),
 - (A) a scheme which only holds the following types
of property:
 - (1) financial assets;
 - (viii) "Maxim Diversified Property Fund" scheme (ARSN:
123 372 047),



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (ix) "Asian Equities Opportunities Fund" scheme (ARSN: 118 833 828),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (x) "Access SRA Fund" scheme (ARSN: 127 228 675),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xi) "Ascend China Growth Fund" scheme (ARSN: 127 224 926),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets;
- (xii) "Ascend Small Cap Resources Fund" scheme (ARSN: 127 224 748),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets; and
- (xiii) "Columbus International Equities Fund" scheme (ARSN: 127 228 719),
- (A) a scheme which only holds the following types of property:
 - (1) financial assets; and
- (d) provide the following custodial or depository services:
 - (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

From: 17/01/2007

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice for the following classes of financial products:
 - (i) interests in managed investment schemes excluding investor directed portfolio services; and
 - (ii) securities;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

the following classes of financial products:

- (A) derivatives;
- (B) interests in managed investment schemes
excluding investor directed portfolio
services; and
- (C) securities; and
- (ii) applying for, acquiring, varying or disposing
of a financial product on behalf of another
person in respect of the following classes of
products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit
products;
 - (B) derivatives;
 - (C) general insurance products;
 - (D) interests in managed investment schemes
excluding investor directed portfolio
services; and
 - (E) securities;
- (c) operate the following kinds of registered managed
investment schemes (including the holding of any
incidental property) in its capacity as
responsible entity:
 - (i) "Maxim Property Securities Fund" scheme (ARSN:
116 193 563),
 - (A) a scheme which only holds the following types
of property:
 - (1) financial assets;
 - (ii) "The Himalaya Fund" scheme (ARSN: 113 297 484),
 - (A) a scheme which only holds the following types
of property:
 - (1) financial assets;
 - (iii) "The Partners In Property Group Development
Fund" scheme (ARSN: 115 654 138),
 - (A) a scheme which only holds the following types
of property:
 - (1) direct real property;
 - (iv) "EG Capital Bioequities Fund" scheme (ARSN: 119
650 798),
 - (A) a scheme which only holds the following types
of property:
 - (1) financial assets;
 - (v) "Hanover Development Capital Fund" scheme



ASIC

Australian Securities & Investments Commission

Australian Financial Services Licensee

ORIGIN MARKETS LTD
AFS Licence Number 246943

Licence Authorisation Conditions

- (ARSN: 121 004 206),
- (A) a scheme which only holds the following types of property:
- (1) direct real property;
- (vi) "Infiniti Capital Fixed Income Fund" scheme (ARSN: 121 052 613),
- (A) a scheme which only holds the following types of property:
- (1) financial assets;
- (vii) "Infiniti Capital Andante 4X Australia Fund" scheme (ARSN: 123 372 207),
- (A) a scheme which only holds the following types of property:
- (1) financial assets;
- (viii) "Paradigm AUD Fund" scheme (ARSN: 123 372 047),
- (A) a scheme which only holds the following types of property:
- (1) financial assets; and
- (ix) "Real Agribusiness Land Trust No.2" scheme (ARSN: 123 371 933),
- (A) a scheme which only holds the following types of property:
- (1) direct real property; and
- (d) provide the following custodial or depository services:
- (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.

From: 14/08/2006

- Details:
1. This licence authorises the licensee to carry on a financial services business to:
- (a) provide general financial product advice for the following classes of financial products:
- (i) interests in managed investment schemes excluding investor directed portfolio services; and
- (ii) securities;
- (b) deal in a financial product by:
- (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
- (A) derivatives;
- (B) interests in managed investment schemes excluding investor directed portfolio



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Licence Authorisation Conditions

- services; and
- (C) securities; and
- (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (2) deposit products other than basic deposit products;
 - (B) derivatives;
 - (C) general insurance products;
 - (D) interests in managed investment schemes excluding investor directed portfolio services; and
 - (E) securities;
- (c) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity:
 - (i) "The Himalaya Fund" scheme (ARSN: 113 297 484),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (ii) "Maxim Property Securities Fund" scheme (ARSN: 116 193 563),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (iii) "The Partners In Property Group Development Fund" scheme (ARSN: 115 654 138),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property;
 - (iv) "eG Capital Bioequities Fund" scheme (ARSN: 119 650 798),
 - (A) a scheme which only holds the following types of property:
 - (1) financial assets;
 - (v) "Hanover Development Capital Fund" scheme (ARSN: 121 004 206),
 - (A) a scheme which only holds the following types of property:
 - (1) direct real property; and



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- (vi) "Infiniti Capital Fixed Income Fund" scheme
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- (A) a scheme which only holds the following types
of property:
 - (1) financial assets; and
- (d) provide the following custodial or depository
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 - (i) operate custodial or depository services other
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to retail and wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.