



ASIC

Australian Securities & Investments Commission

Extracted from ASIC's database at AEST 17:55:18 on 24/08/2016

<b>Current Details</b>	
Name:	CHARTERPRIME PTY LTD
Licence Number:	421210
Status:	Current
ABN:	65 156 005 668
Commenced:	03/09/2012
<b>Addresses</b>	
Principal Business Address:	Level 36, 1 Macquarie Place, SYDNEY NSW 2000
Service Address:	Level 29, 66 Goulburn Street, SYDNEY NSW 2000
<b>Roles</b>	
Role:	Auditor of FS Licensee
Name:	STUART JOHN HUTCHEON
Commenced:	02/10/2012
<b>External Dispute Resolution</b>	
Scheme:	Financial Ombudsman Service
Membership Number:	30251
Commenced:	05/04/2012
<b>Licence Authorisation Conditions</b>	
From:	18/11/2014
Details:	<p>1. This licence authorises the licensee to carry on a financial services business to:</p> <p>(a) provide financial product advice for the following classes of financial products:</p> <ul style="list-style-type: none"> <li>(i) derivatives; and</li> <li>(ii) foreign exchange contracts;</li> </ul> <p>(b) deal in a financial product by:</p> <ul style="list-style-type: none"> <li>(i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products: <ul style="list-style-type: none"> <li>(A) derivatives; and</li> <li>(B) foreign exchange contracts; and</li> </ul> </li> <li>(c) make a market for the following financial products: <ul style="list-style-type: none"> <li>(i) foreign exchange contracts; and</li> <li>(ii) derivatives;</li> </ul> </li> </ul> <p>to retail and wholesale clients.</p>
From:	03/09/2012
Details:	<p>1. This licence authorises the licensee to carry on a financial services business to:</p> <p>(a) provide financial product advice for the following classes of financial products:</p> <ul style="list-style-type: none"> <li>(i) derivatives; and</li> <li>(ii) foreign exchange contracts;</li> </ul> <p>(b) deal in a financial product by:</p> <ul style="list-style-type: none"> <li>(i) issuing, applying for, acquiring, varying or</li> </ul>



ASIC

Australian Securities & Investments Commission

**Australian Financial Services Licensee**

**CHARTERPRIME PTY LTD**  
**AFS Licence Number 421210**

**Licence Authorisation Conditions**

- disposing of a financial product in respect of the following classes of financial products:
- (A) derivatives; and
  - (B) foreign exchange contracts; and
  - (c) make a market for the following financial products:
    - (i) foreign exchange contracts; and
    - (ii) derivatives;
- to retail and wholesale clients.

Further information relating to this Licensee may be purchased from ASIC.